



# AGREEMENT BETWEEN THE UNITED STATES OF AMERICA, THE UNITED MEXICAN STATES, AND CANADA

## Certificate of Origin

For obtaining preferential tariff treatment at the time of entry, the information on this document must be completed legibly and in full and be in the possession of the Importer at the time the declaration is made. This document may also be completed voluntarily by the Producer in preparing its own Certificate of Origin. Any party providing a USMCA qualifying certification must retain all documentation in support of such a claim for a period of no less than seven (7) years from the date of execution and agree to produce such documentation within 30 days of the request.

**Box 1: Certifier:** Provide the Certifier's name, address (including country), telephone number, and e-mail address. Indicate whether the certifier is the Exporter, Producer, and/or Importer in accordance with Article 5.2 (claims for Preferential Treatment) of the USMCA text. "Certifier" is a mandatory field.

**Box 2: Blanket Period:** "FROM" is the date upon which the Certificate becomes applicable to the goods covered by the blanket Certificate (it may be prior to the date of signing this Certificate). "TO" is the date upon which the blanket period expires. In no instance should that certification exceed a 12-month period, and any information provided should be updated in the event any previously issued certification no longer applies. Certification may be further limited to a specific invoice number or numbers.

**Box 3: Exporter:** Provide, if known, the Exporter's name, address (including country), e-mail address, and telephone number. This information is not required if the Producer is completing the Certificate of Origin and does not know the identity of the Exporter. The address of the Exporter shall be the place of export of the good in a Party's territory.

**Box 4: Producer:** Provide the Producer's name, address (including country), e-mail address, and telephone number, or if there are multiple Producers, state "Various" or provide a list of producers. A person that wishes for this information to remain confidential may state, "Available upon request by the importing authorities." The address of a Producer shall be the place of production of the good in a Party's territory.

**Box 5: Importer:** Provide, if known, the Importer's name, address, e-mail address, and telephone number. This information is not required if the Producer is completing the Certificate of Origin and does not know the identity of the Exporter. The address of the Importer shall be in a Party's territory.

**Box 6: Description of Goods:** Provide a description of the good and the HS tariff classification of the good to the 6-digit level. The description should be sufficient to relate it to the good covered by the certification.

**Box 7: HS Tariff Classification:** For each good certified, identify the HS tariff classification to six digits.

**Box 8: Origin Criterion:** For each good described in the certification, state which criterion (A through D) is applicable. The rules of origin are contained in Chapter IV and Article 4.2 (Originating Goods). NOTE: In order to be entitled to preferential tariff treatment, each good must meet at least one of the criteria below:

### *Origin Criteria*

- A. wholly obtained or produced entirely in the territory of one or more of the Parties, as defined in Article 4.3 (Wholly Obtained or Produced Goods);
- B. produced entirely in the territory of one or more of the Parties using non-originating materials provided the good satisfies all applicable requirements of Annex 4-B (Product-Specific Rules of Origin);
- C. produced entirely in the territory of one or more of the Parties exclusively from originating materials; or
- D. except for a good provided for in Chapter 61 to 63 of the Harmonized System:
  - (i) produced entirely in the territory of one or more of the Parties;
  - (ii) one or more of the non-originating materials provided for as parts under the Harmonized System used in the production of the good cannot satisfy the requirements set out in Annex 4-B (Product-Specific Rules of Origin) because both the good and its materials are classified in the same subheading or same heading that is not further subdivided into subheadings or, the good was imported into the territory of a Party in an unassembled or a disassembled form but was classified as an assembled good pursuant to rule 2(a) of the General Rules of Interpretation of the Harmonized System; and
  - (iii) the regional value content of the good, determined in accordance with Article 4.5 (Regional Value Content), is not less than 60 percent if the transaction value method is used, or not less than 50 percent if the net cost method is used; and the good satisfies all other applicable requirements of this Chapter.

**Qualification Method:** For each good certified, where the good is subject to a regional value content (RVC) requirement, indicate "NC" if the RVC is calculated according to the net cost method; where transaction value was used, indicate "TV;" where tariff shift alone applied, use "TS."

**Box 9: Country of Origin:** Identify the country of production ("US," "CA," or "MX") to which the preferential rate of customs duty applies.

**Box 10: Authorized Signature and Date:** The certification must be signed and dated by the certifier and accompanied by the following statement:

I certify that the goods described in this document qualify as originating, and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.